

Campus Compliance Concerns

The Wider View of State Authorization

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Must. Have. Sense of Humor.



What's it all about anyway???

State authorization essentially has two key functions:

- Ensuring consumer protection
- Safeguarding academic quality

State authorization, and . . . what else?

Let's see a show of hands!



Compliance is everywhere . . .

. . . in higher education today. Why?

- Competition
- Environment
- Regulation
- Performance metrics and other data
- Fraud
- Other?

Just a sampling . . .

- Title IV
- Title VI
- ADA
- HEA/HEOA
- FERPA
- SEVP
- Safety & Security (Clery Act)
- VAWA
- HR (COBRA, ERISA, ACA, Federal Work Study, etc.)
- SOX
- Copyright & Intellectual Property
- NCAA
- Research and Grants
- IPEDS

Where does the work get done?

- *The Office of the President* (University of California)
- *Office of General Counsel* (University of Akron, Kent State University)
- *Office of Risk Management* (University of Washington)
- *Compliance & Ethics Program* (Catholic University of America)
- *Accreditation & Institutional Effectiveness Department* (Franklin University)
- *Office of University Compliance & Integrity* (OSU)
- *Office of Compliance & Risk Management* (NYU)
- *Office of University Compliance* (Columbia University)
- *The Office of Legal Counsel* (University of Chicago)
- *Compliance & Policy Office* (William & Mary)

Compliance and more compliance

- State authorization compliance
 - Authorization, official exemption, no trigger activities
- Regional accreditation compliance
 - Federal Compliance Packet – FDCR.A.10.090
 - (Standing with State and Other Accrediting Agencies)
- Specialized or programmatic accreditation compliance
 - Nursing, business, engineering, health information management, public health, criminal justice, teacher education, ESL, etc.
- State licensure boards
 - Nursing, social work, teacher education, physical therapy, counseling, etc.
- Worker's Compensation or Workforce Development boards
- State Departments of Veteran's Affairs

As always . . .

. . . It's about communication – across your institution, with state regulators, with the HLC, etc.

- The regulatory landscape is always shifting, and regular research and information gathering are essential to stay on top of compliance obligations
- The Department of Education could issue a new state authorization regulation at any time; either through a reauthorization of the HEA or as a stand-alone
- Regulators, accreditors and other government entities and communicate with one another about institutions and compliance topics, and are aware of one another's compliance obligations
- Regular communication with your colleagues about state authorization compliance obligations will help everyone do a better job

Resources & Best Practice Resources

- Higher Education Compliance Alliance (HECA)
www.highereducationcompliance.org
- National Association of College & University Attorneys (NACUA)
www.nacua.org
- WCET
- Association of Governing Boards (AGB)
www.agb.org
- Educause
www.educause.edu
- Washington & Lee University
- Catholic University of America

Let's discuss!

- Questions?
- Comments?
- Thoughts & ideas?
- Additional resources?
- Additional best practices?

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